



041604  
01919 U.S. PTO

## UTILITY APPLICATION

Attorney Docket No.: **CHFT.004A**

First Named Inventor: **Houser et al.**

Title: **METHOD AND DEVICES FOR TREATING  
ISCHEMIC CONGESTIVE HEART FAILURE**

Express Mail Label No.: **EV 307987621 US**

**Direct all correspondence to Customer No.: 20995**

Date: April 16, 2004

Page 1

### Mail Stop Patent Application

United States Patent and Trademark Office

PO Box 1450

Alexandria, VA 22313-1450

16834 U.S. PTO  
10/825786



041604

The following enclosures are transmitted herewith to be filed in the patent application of:

Inventor(s):

- |                  |    |
|------------------|----|
| 1. Houser et al. | 2. |
| 3.               | 4. |

### APPLICATION ELEMENTS:

(X) Specification in 43 pages.

(X) Drawings in 18 sheets.

(X) Return prepaid postcard.

(X) Priority:

This application claims priority from the following U.S. Provisional Patent Applications each of which is incorporated herein in its entirety by reference: Serial Number 60/466,653, filed April 29, 2003 and titled *Ventricular Restoration*; Serial Number 60/485,568, filed July 7, 2003 and titled *Systems, Devices and Methods of Use for Treating Congestive Heart Failure (CHF)*; Serial Number 60/488,292, filed July 18, 2003 and titled *Ventricular Sizing & Shaping Device and Method*; Serial Number 60/499,946, filed September 2, 2003 and titled *System and Method of Use to Employ Imaging Technology for Diagnosis, Measurement, Standardization, and Follow-up of Disease Processes and Determine Optimal Treatment*; Serial Number 60/500,762, filed September 4, 2003 and titled *Shaping Suture Device and Method of Use*; Serial Number 60/512,293, filed October 17, 2003 and titled *Less Invasive CHF Treatment - Reshaping the Heart*; Serial Number 60/518,270, filed November 5, 2003 and titled *Methods and Devices for Tracking Acute Myocardial Infarction*; and Serial Number 60/534,514, filed January 5, 2004 and titled *Squeeze Patch*. This application also claims priority from and is a continuation-in-part from co-pending U.S. Patent Application Serial Number 10/785,486, filed February 17, 2004 and titled *Patches and Collars for Medical Applications and Methods of Use*, which claims priority from and is a continuation from U.S. Patent Application Serial Number 10/224,659, filed April 23, 2002 and titled *Arteriotomy Closure Device and Techniques*, which claims priority from U.S. Provisional Patent Application Serial Number 60/286,269, filed April 24, 2001 and titled *Percutaneous Vessel Access Closure Device and Method*; from U.S. Provisional Patent Application Serial Number 60/300,892, filed June 25, 2001 and titled *Percutaneous Vessel Access Closure Device and Method*; and from U.S. Provisional Patent Application Serial Number 60/302,255, filed June 28, 2001 and titled *Percutaneous Vessel Access Closure Device and Method (Hemostatic Patch or Collar)* each of which is incorporated herein in its entirety by reference. This application also claims priority from and is a continuation-in-part from co-pending U.S. Patent Application Serial Number 10/183,396, filed June 28, 2002 and titled *Patches and Collars for Medical Applications and Methods of Use*, which claims priority from and

<b>UTILITY APPLICATION</b>	Attorney Docket No.: <b>CHFT.004A</b>
	First Named Inventor: <b>Houser et al.</b>
	Title: <b>METHOD AND DEVICES FOR TREATING ISCHEMIC CONGESTIVE HEART FAILURE</b>
	Express Mail Label No.: <b>EV 307987621 US</b>
<b>Direct all correspondence to Customer No.: 20995</b>	
Date: April 16, 2004 Page 2	

is a continuation-in-part from U.S. Patent Application Serial Number 10/127,714, filed on April 23, 2002, which claims priority from U.S. Provisional Patent Application No. 60/286,269, filed April 24, 2001 and titled *Percutaneous Vessel Access Closure Device and Method*; from U.S. Provisional Patent Application Serial Number 60/300,892, filed June 25, 2001 and titled *Percutaneous Vessel Access Closure Device and Method*; and from U.S. Provisional Patent Application Serial Number 60/302,255, filed June 28, 2001 and titled *Percutaneous Vessel Access Closure Device and Method (Hemostatic Patch or Collar)*, each of which is incorporated herein in its entirety by reference.

#### FILING FEES:

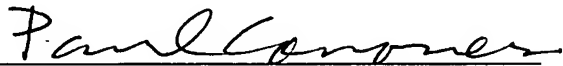
The present application qualifies for small entity status under 37 C.F.R. § 1.27. The fees are calculated below:

FEE CALCULATION				
FEE TYPE		FEE CODE	CALCULATION	TOTAL
Basic Utility		2001 (\$385)		\$385
Non-English Spec.		1053 (\$130)		\$
Recordation Fee		8021 (\$40)	* x 40 =	\$
Excess Claims > 20	23 - 20 = 3	2202 (\$9)	4 x 9 =	\$ 27
Independent > 3	7 - 3 = 4	2201 (\$43)	0 x 43 =	\$172
Multiple Claim		2203 (\$145)		\$
			<b>TOTAL FEE DUE</b>	<b>\$584</b>

(X) A check in the amount of **\$584** to cover the Total Fee Due is enclosed.

<b>UTILITY APPLICATION</b>	Attorney Docket No.: <b>CHFT.004A</b>
	First Named Inventor: <b>Houser et al.</b>
	Title: <b>METHOD AND DEVICES FOR TREATING ISCHEMIC CONGESTIVE HEART FAILURE</b>
	Express Mail Label No.: <b>EV 307987621 US</b>
<b>Direct all correspondence to Customer No.: 20995</b>	
Date: April 16, 2004 Page 3	

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

  
Paul N. Conover  
Registration No. 44,087  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

H:\DOCS\LXX\LXX-1856.DOC:rdc  
041604

## MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

### CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Attorney Docket No. : CHFT.004A

Applicant(s) : Houser, et al.

For : METHOD AND DEVICES FOR TREATING  
ISCHEMIC CONGESTIVE HEART FAILURE

Attorney : Paul N. Conover

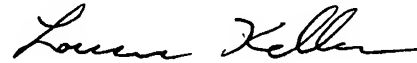
"Express Mail"  
Mailing Label No. : EV 307987621 US

Date of Deposit : April 16, 2004

I hereby certify that the accompanying

Transmittal letter; specification in 43 pages; 18 sheets of drawings; Check in the  
amount of \$584 for Filing Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
Lauren Keller

H:\DOCS\LXK\LXK-1844.DOC:\rdc1  
041604